57-15-04

41

21414

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0551-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Number	O9/751,807 December 29, 2000 Min Zhu JUL 1 6 2004 2141 Technology Center 2				
TRANS	SMITTAL	Filing Date					
F	ORM	First Named Inventor					
(to be used for all corre	espondence after initial filing)	Art Unit					
		Examiner Name	Kenneth R. Coulter				
Total Number of Pages in	This Submission 7	Attorney Docket Number	24333/81051				
	ENCLOSU	RES (check all that ap	oply)				
Extension of Time Express Abandon Information Disclot Certified Copy of Indicated Copy of Indicated Copy of Indicated Copy of Indicated Copy of Incomplete Application Response to Miss Incomplete Application	ded Lic Lic Lic Per Pro Ch Priority Remarks	ensing-related Papers tition tition to Convert a ovisional Application wer of Attorney, Revocation ange of Correspondence rminal Disclaimer quest for Refund D, Number of CD(s)	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identity below): Return Receipt Postcard PTO 1449 form listing 34 references				
	SIGNATURE OF A	PPLICANT, ATTORNE	Y, OR AGENT				
Signature	p W. Woo, Reg. No. 39,880						
1	CEDTIEICATE	OF TRANSMISSION/	MALLING				
I hereby certify that this co sufficient postage as first of the date shown below:	rrespondence is being facsimile t	ransmitted to the USPTO or de	eposited with the United States Postal Service with nts, P.O. Box 1450, Alexandria, VA 22313-1450 on				
Typed or printed name	Express Mail Label No.: EV 305	5 258 302 US					
Signature		····	Date				

This collection of information is required by 37 CRF 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CRF 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

1



Atty. Docket: 24333/81051

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE VED

JUL 1 6 2004

Technology Center 2100

In re application of:

Min Zhu, et al.

Title:

Fault-Tolerant Distributed System For Collaborative

Computing

Application No.:

09/751,807

Filing Date:

December 29, 2000

Examiner:

Kenneth R. Coulter

Group Art Unit::

2141

Confirmation No.:

5221

Law Office:

Sidley Austin Brown & Wood LLP

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following documents (copies enclosed) to the attention of the Examiner for the above-identified patent application.

A form PTO-1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made; or \$\\07/16/2004 \arg Direction \text{ 000000005 501537} \quad \text{301537}

01 FC:1806

180.00 BA

SF1 1396990v1

BEST AVAILABLE COPY

2

3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). Please charge Deposit Account No. 50-1597 in the amount of \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1597. This paper is being submitted in duplicate to facilitate Deposit Account payment.

EXPRESS MAIL LABEL NO.:

Respectfully submitted,

EV 305 258 302 US

By:

Philip W. Woo Attorney of Record Reg. No. 39,880 PWW/rp

July 14, 2004

SIDLEY AUSTIN BROWN & WOOD LLP 555 California Street, Suite 5000 San Francisco, CA 94104-1715

Sheet 1 of 2

U.S. Department of Commerce, Patent and Trademark Office							H	pplication No.		
RANFMARKOT						24333/81051 09/751,807				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicants: Min Zhu, et al.				
(Use several sheets if necessary)					Filing Date 12/29/2000			Group		
								2171		
			U.S. Paten	Documents						
*Examiner Initial •		Document Number	Date	Name	Class	Subclass		Filing Date If Appropriat		
	1.	US2003/0167293	Sep. 4, 2003	Zhu et al.						
	2.	US2003/0167304	Sep. 4, 2003	Zhu et al.				71		
	3.	US2003/0167418	Sep. 4, 2003	Zhu et al.	<u> </u>	REC	CE	IVED		
	4.	5,293,619	Mar. 8, 1994	Dean				6 2004		
	5.	5,557,798	Sep. 17, 1996	Skeen et al.						
	6.	5,764,916	Jun. 9, 1998	Busey et al.		Technolo	ogy	Center 2100		
	7.	5,805,846	Sep. 8, 1998	Nakajima et al.						
	9.	5,918,239	Jun. 29, 1999	Allen et al.						
	9.	5,940,082	Aug. 17, 1999	Brinegar et al.				,		
,	10.	6,058,490	May 2, 2000	Allen et al.						
	11.	6,173,311	Jan. 9, 2001	Hassett et al.						
	12.	6,195,685	Feb. 27, 2001	Mukherjee et al.						
	13.	6,233,565	May 15, 2001	Lewis et al.						
	14.	6,308,887	Oct. 30, 2001	Korman et al.						
	15.	6,314,425	Nov. 6, 2001	Serbinis et al.						
	16.	6,314,555	Nov. 6, 2001	Ndumu et al.						
	17.	6,321,252	Nov. 20, 2001	Bhola et al.						
	18.	6,349,327	Feb. 19, 2002	Tang et al.						
	19.	6,381,637	Apr. 30, 2002	Kamada						
	20.	6,411,989	Jun. 25, 2002	Anupam						
	21.	6,487,585	Nov. 26, 2002	Yurkovic						
	22.	6,499,026	Dec. 24, 2002	Rivette et al.						
	23.	6,539,406	Mar. 25, 2003	Ibarra et al.						
	24.	6,567,813	May 20, 2003	Zhu et al.						
	25.	6,574,674	Jun. 3, 2003	May et al.						
xaminer:			Date	Considered:						

through citation if not in conformance and not considered. Include copy of this form with your communication to

Express Mail Label No.: EV 305 258 302 US

applicant.

Sheet 2 of 2

11 1 4 2006 0									Shee	t 2 of
U.S. pepartment of Commerce, Patent and Trademark Office					Atty Docket No.		Application No.).	
TRADEMARK	PIADEMARK					24333/81	051	09/751,807		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants: Min Zhu, et al.					
	(Use several sheets if necessary)				Filing Dat	е		Group		
					12/29/200	00	2171			
			U.S. 1	Paten	t Documents			-	700	
26	6.	6,584,466	Jun. 24, 2	2003	Serbinis et al.					
27	7.	6,584,493	Jun. 24, 2	2003	Butler					_
28	8.	6,601,087	Jul. 29, 2	003	Zhu et al.		RECEIVED)
29	9.	6,611,586	Aug. 26, 2	2003	lbezim et al.		.101 1		6 2004	
30	0.	6,611,822	Aug. 26, 2	2003	Beams et al.				Center 2100	
3	1.	6,687,846	Feb. 3, 2	004	Adrangi et al.					
32	2.	6,715,100	Mar. 30, 2	2004	Hwang					
33	3.	6,731,625	May 4, 2	004	Eastep et al.					
•			Foreig	n Pate	ent Documents	•				
·									Trans	slati
,		Document	Date		Country	Class	Subclas	ss	Yes	N
										<u></u>
					Title, Date, Pertin					
34	4.	Derfler et al., "How N 205-209.	Networks Wo	ork," S	September 2000, Q	ue Corpora	tion, Miller	nniu	m Edition, p	age
Examiner:				Date	Considered:					
		al if reference consider ot in conformance and								

Express Mail Label No.: EV 305 258 302 US